



Automatic Investment Plans can be an excellent way to invest in your portfolio by using dollar cost averaging. Commissions and transaction fees are not charged for recurring transactions, potentially saving you money on each subsequent purchase or redemption. Complete this form to set up automatic investments into or redemptions out of existing mutual fund position(s) held in your brokerage account. You can also establish or edit these instructions online from the actions menu on your Investments page or in the drop-down on the Mutual Fund Trading page.

Questions? Please call 800-842-2252, weekdays, 8 a.m. - 7 p.m. (ET).

All AIP purchases will be made from the cash and/or cash sweep balance. Funds must be available prior to any AIP purchase.

STEP 1: BROKERAGE ACCOUNT INFORMATION (REQUIRED)

Brokerage Account Registration/Name(s)
(as it appears on your statement)

Brokerage Account Number

If you have an ACH instruction on file that is used to deposit or withdraw funds for your automated purchase or redemption, understand that any changes to those ACH instructions will not alter the instructions with the recurring mutual fund transaction. An example would be where the bank account used to fund the automatic purchase was closed and a new bank was not updated. Or, close to the time of the purchase the deposit was returned by the bank leaving the account in a negative cash balance, possibly resulting in a sell-out and additional fees.

Note: Mutual fund positions must be established in your account prior to starting an AIP transaction. Fee(s) may apply.

To establish standing instructions for both a buy and a sell, you will need to complete two separate forms.

STEP 2: INVESTMENT SELECTIONS

TYPE OF INSTRUCTIONS (Choose One):

New Instructions/Add to Existing Instructions

Cancel all previous instructions and replace with this instruction

FREQUENCY (Choose One):

Monthly Quarterly Semiannually

Yearly

INSTRUCTIONS (Choose One):

Buy Sell

Start Date* (mm/dd/yyyy)

CUSIP/ Symbol	Fund Name	Amount (\$100 minimum)	End Date (If applicable)
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* If your purchase or sell date falls on a weekend or a holiday, the purchase or sale will be made on the next business day. If we are unable to process your request by the beginning date, your purchase or sale will be made on the next scheduled date.

Investment, Insurance, and annuity products are not FDIC insured, are not bank guaranteed, are not deposits, are not insured by any federal government agency, are not a condition of any banking service or activity, and may lose value.





STEP 3: AGREEMENT AND SIGNATURE (REQUIRED)

Note: All owners on the TIAA Brokerage account must sign this authorization.

I authorize Pershing, LLC through TIAA-CREF Individual & Institutional Services, LLC (TIAA Brokerage), to execute automatic investments or redemptions into the fund(s) listed on Section 2. I understand that in order for my AIP to begin, the fund position must be established in my TIAA Brokerage account. I understand that it is my responsibility to ensure that funds are available in my brokerage account prior to any AIP purchase. If a debit balance is created as a result of my AIP purchase, I agree to take immediate action to cover the debit balance. I understand that TIAA Brokerage reserves the right to take action in my brokerage account to cover any debit without prior notice. I understand that TIAA Brokerage may review, reject, cancel or modify any securities transaction, without prior notification, and for any reason, including excessive cases when there are insufficient funds to cover the transaction(s). I understand that these transactions will be subject to the published commission and fee schedule.

Your Signature (Account Owner/Trustee or Custodian)

Today's Date (mm/dd/yyyy)

 / / 20

Print Name

Your Signature (Co-Account Owner/Trustee)

Today's Date (mm/dd/yyyy)

 / / 20

Print Name

Your Signature (Co-Account Owner/Trustee)

Today's Date (mm/dd/yyyy)

 / / 20

Print Name

OPTIONS TO RETURN COMPLETED FORM(S)

OPTION 1: Use the TIAA mobile app to quickly upload your completed document(s). It's as simple as taking a picture. Haven't downloaded the TIAA mobile app? Get it today in the [App Store](#) or [Google Play](#).

- Tap the **Message Center** icon in the upper-right corner of your main screen.
- Go to the **Files** tab, select **Upload** and then follow the instructions.

OPTION 2: If you are using your personal computer, here's what you'll need to do to upload your completed document(s):

- Log in to your [TIAA.org](#) account and select the **Actions** tab.
- Choose **Upload document(s)** from the options presented.
- Select **Upload Files** and follow the step-by-step instructions.

OPTION 3: If you prefer to fax or mail this form, use the information provided below:

FAX:
800-914-8922 (within U.S.)

STANDARD MAIL:
TIAA
P.O. Box 1280
Charlotte, NC 28201-1280

OVERNIGHT DELIVERY:
TIAA
8500 Andrew Carnegie Blvd.
Charlotte, NC 28262

